

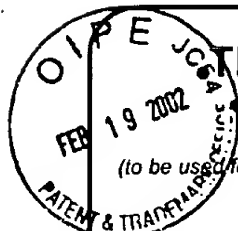
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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/944,626
Filing Date	August 30, 2001
First Named Inventor	Shah, Safwan
Group Art Unit	2163
Examiner Name	Unassigned
Attorney Docket Number	020342-000900US

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Second Request for Corrected Filing Receipt; PTO copy of Updated Filing Receipt showing correction to be made; original Request for Corrected Filing Receipt and accompanying documents
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Patrick M. Boucher	Reg. No. 44,037
Signature		
Date	January 25, 2002	

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January 25, 2002

Typed or printed name	Nina L. McNeill		
Signature		Date	January 25, 2002

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PATENT
Attorney Docket No.: 020342-000900US

on Jan 25, 2002

By: [Signature]

Nina L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Safwan Shah et al.

Application No.: 09/944,626

Filed: August 30, 2001

For: ACTIVE PROFILING SYSTEM FOR
TRACKING AND QUANTIFYING
CUSTOMER CONVERSION EFFICIENCY

Examiner: Unassigned

Art Unit: 2163

SECOND REQUEST FOR CORRECTED
FILING RECEIPT

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Customer Service Center
Washington, D.C. 20231

Sir:

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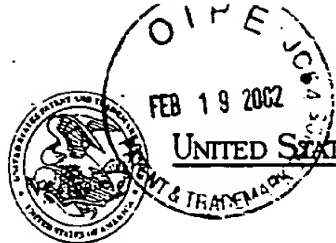
There is an error in that the number of drawings submitted with the patent application should read "6" and not 7 as shown. As proof, a copy of the Patent Application Transmittal, Application Data Sheet and postcard are attached.

Respectfully submitted,

[Signature]

Patrick M. Boucher
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP
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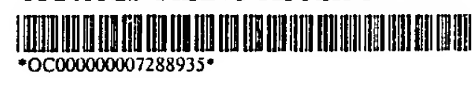
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,626	08/30/2001	2163	657	020342 - 000900US	X6	40	4

CONFIRMATION NO. 9256

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

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JAN 18 2002

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Applicant(s)

Safwan Shah, San Jose, CA;
Vali Maskatiya, Atherton, CA;
Ashim Banerjee, Westminister, CO;

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: 03/06/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Active profiling system for tracking and quantifying customer conversion efficiency

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

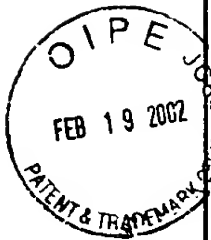
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PATENT
Attorney Docket No.: 020342-000900US

October 17, 2001

By: Nina L. McNeill
Nina L. McNeill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Safwan Shah et al.

Application No.: 09/944,626

Filed: August 30, 2001

For: ACTIVE PROFILING SYSTEM FOR
TRACKING AND QUANTIFYING
CUSTOMER CONVERSION EFFICIENCY

Examiner: Unassigned

Art Unit: 2163

REQUEST FOR CORRECTED FILING
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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the number of drawings submitted with the patent application should read "6" and not 7 as shown. As proof, a copy of the Patent Application Transmittal, Application Data Sheet and postcard are attached.

Respectfully submitted,

Patrick M. Boucher
Patrick M. Boucher
Reg. No. 44,037

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Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (303) 571-4000
Fax: (303) 571-4321
PMB/nlm
DE 7052900 v1



020342 100900U
PMB

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,626	08/30/2001	2163	0.00	020342-000900US	X6	40	4

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SAN FRANCISCO, CA 94111-3834

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CONFIRMATION NO. 9256

FILING RECEIPT



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Date Mailed: 10/04/2001

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Applicant(s)

Safwan Shah, San Jose, CA;
Vali Maskatiya, Atherton, CA;
Ashim Banerjee, Westminster, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

OCT 15 2001

Early Publication Request: No

** SMALL ENTITY **

Title

Active profiling system for tracking and quantifying customer conversion efficiency

Preliminary Class

Please type a plus sign (+) inside this:



PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	20342-000900
	First Inventor	Shah, Salwan
	Title	ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER CONVERSION EFFICIENCY
	Express Mail Label No.	EL 903198721 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning design patent application contents.	ADDRESS TO Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages <input type="text" value="25"/>) (preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) (Total Sheets <input type="text" value="6"/>)</p> <p>5. Oath or Declaration (Total Pages <input type="text" value="1"/>) a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATIONS PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure <input checked="" type="checkbox"/> Copies of IDS Statement (IDS) PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. <input type="checkbox"/> Other:</p>

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of prior application No: ____ / ____

Prior application information: Examiner ____

Group Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Patrick M. Boucher	Registration No. (Attorney/Agent)	44,037
Signature		Date	August 30, 2001

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DE 7049578 v1

Application Data Sheet

Application Information

Application Type:: Regular
Subject Matter:: Utility
Title:: ACTIVE PROFILING SYSTEM FOR TRACKING
AND QUANTIFYING CUSTOMER CONVERSION
EFFICIENCY
Attorney Docket Number:: 020342-000900US
Request for Early Publication:: No
Request for Non-Publication:: No
Total Drawing Sheets:: 6
Small Entity?: Yes
Petition included?: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: Pakistan
Status:: Full Capacity
Given Name:: Safwan
Family Name:: Shah
City of Residence:: San Jose
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 1181 Valley Quail Circle
City of Mailing Address:: San Jose
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 95120

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BOX PATENT APPLICATION
Washington, DC 20231

Please stamp the date of receipt of the following document(s):

For: ACTIVE PROFILING SYSTEM FOR TRACKING AND QUANTIFYING CUSTOMER
CONVERSION EFFICIENCY

1. Utility Patent Application Transmittal
2. Application Data Sheet (3 pages)
3. Patent Application (25 pages of specs, claims and abstract)
4. Six (6) sheets of informal drawings
5. Information Disclosure Statement and Form 1449
6. Copies of nine (9) cited references

Express Mail No.: EL 903198721 US
Date Mailed: August 30, 2001
Atty. Docket No. 020342-000900US
Atty/Sec: PMB/nlm (Den)

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J1036 U.S. PTO
09/944626
08/30/01